The Austrian Open Access Recommendations

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Mission Statement

Openness is the normative pledge of science and scholarship. It is the precondition that research results can be replicated, verified, falsified and reused for scholarly as well as for practical applications.

Since it is now technically possible, it is the intrinsic duty of public authorities to enforce the transition to full Open Access politically and financially.
Open Access Network Austria (OANA) is a voluntary bottom up initiative with 54 member organisations coordinated by the Austrian Science Fund (FWF)

- Coordination of and recommendations for the Austrian OA-tasks/activities of research institutions, funding organizations and research policies (including international developments)

- Positioning towards the information providers (mainly publishing houses)

- Contact persons and source of information for researchers, research institutions and politics
Recommendations for the Transition to Open Access in Austria

Bauer, Bruno; Blechl, Guido; Bock, Christoph; Danowski, Patrick; Ferus, Andreas; Graschopf, Anton; König, Thomas; Mayer, Katja; Nentwich, Michael; Reckling, Falk; Rieck, Katharina; Seitz, Peter; Stöger, Herwig; Welzig, Elvira

Based on 16 recommendations, efforts should be made to achieve the following goal:

By 2025, all scholarly publication activity in Austria should be Open Access. In other words, the final versions of all scholarly publications resulting from the support of public resources must be freely accessible on the Internet without delay (Gold Open Access). The resources required to meet this obligation shall be provided to the authors, or the cost of the publication venues shall be borne directly by the research organisations.

Source: http://dx.doi.org/10.5281/zenodo.34079
Core Recommendations and what has already been achieved in Austria
Introduce Open Access policy

Recommendation

- By 2017, all research and funding organisations financed by public sources should officially adopt and implement their own OA Policy and make OA obligatory in 2020.
Introduce Open Access policy

Achieved so far

- 14 institutions in Austria have an OA policy and signed the Berlin Declaration.
- EU Network PASTEUR4OA says that FWF has one of the most effective OA policies of a public funder in Europe.
- Austrian Court of Audit recently claims OA for all publically funded research.
Create cost transparency

Recommendation

- From 2016 to 2018, provide a transparent overview of the costs of the current publication system.

- By 2018, for publication venues being funded by public resources, the funding conditions should be such that the publication venues can be transformed to Open Access.

- By 2020, make contracts with the publishers transparent.
Achieved so far

- Austrian Academic Library Consortium (KEMÖ) established a permanent working group which will investigate and monitor publication costs in Austria.

- Together with the MPS, UK, NL and some Nordic countries Austria is part of a network exchanging ideas how to monitor OA publication costs

- Asking politics for introducing a *Freedom of Information Act*

- Full transparency of FWF’s [Publication Costs](#) since 2013
# FWF’s Publication Costs in 2014

<table>
<thead>
<tr>
<th>Publication type</th>
<th>No. items</th>
<th>total spending</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>OA Journal Articles</td>
<td>1,176</td>
<td>€ 2,269,595.02</td>
<td>67%</td>
</tr>
<tr>
<td>OA Monographs &amp; Other OA</td>
<td>68</td>
<td>€ 946,087.42</td>
<td>28%</td>
</tr>
<tr>
<td>Non OA Publication Costs</td>
<td>125</td>
<td>€ 186,322.30</td>
<td>5%</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>1,369</strong></td>
<td><strong>€ 3,402,004.74</strong></td>
<td><strong>100%</strong></td>
</tr>
</tbody>
</table>
Reorganise publishing contracts

Recommendation

- From 2020 onward, license agreements with publishers should be concluded in a manner that the research publications are automatically published OA.
Reorganise publishing contracts

Achieved so far

- Austrian Academic Library Consortium (KEMÖ) and FWF have negotiated one of the first (nearly cost-neutral) offsetting OA deals with publishers.
Introduce OA publication fund

Recommendation

- By 2018, all research and funding organisations should establish transparent publication funds to cover author fees for OA.
Introduce OA publication fund

Achieved so far

- Ten Austrian institutions have established publication funds.
- In 2015, ~20% of papers from Austria in Web of Science will be Gold or Hybrid OA.
Funding alternative OA infrastructure

Recommendation
- From 2017 onward, all research and funding organisations in Austria should participate jointly in national and international initiatives that promote high-quality non-commercial publication models and infrastructures.
Funding alternative OA infrastructure

Achieved so far (examples)

FWF ⇒ ~ € 50,000 p.a.

Univ Vienna / FWF ⇒ $ 6,000 p.a.

consortium of 15 institutions ⇒ € 32,000 p.a.

consortium of 4 institutions ⇒ € 30,000 p.a.

Academy of Fine Arts / FWF ⇒ € 17,500 p.a.
More science funders must put their money where their mouths are, and back their positive words with action. It will not be cheap, but the longer we wait, the more expensive it will be.
Using the ratio of power

Publishers

Springer Nature

Elsevier

Wiley-Blackwell

Taylor & Francis

~ € 10 bn
Using the ratio of power

Publishers

~ € 10 bn

European Research Performers and Funders

> € 150 bn
Using the ratio of power

Publishers

SPRINGER NATURE

ELSEVIER

WILEY-BLACKWELL

Taylor & Francis

~ € 10 bn
Conclusion

OA started from bottom up, found institutional support and has achieved remarkable success.

But to overcome the tipping point OA needs …

1. stronger national and transnational cooperation

2. support from the highest level of research institutions

3. support from high politics
Thank you for your attention!

Open Access Network Austria (OANA):
http://www.oana.at/

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FWF Twitter – Open Access:
https://twitter.com/FWFOpenAccess